STIC-ILL

EDR 175.8 1493

From:

STIC-Biotech/ChemLib

Sent: To:

Thursday, March 18, 2004 5:01 PM

STIC-ILL FW: 09899300 Subject:

----Original Message-----

From:

Yaen, Christopher

Sent:

Thursday, March 18, 2004 4:58 PM

To:

STIC-Biotech/ChemLib

Subject:

09899300

could you please get the following ref(s):

Br J Cancer. 1998 Aug;78(4):478-83

J Immunother Emphasis Tumor Immunol. 1996 Jul;19(4):245-56.

Hybridoma. 1986 Jul;5 Suppl 1:S117-23.

Med Oncol Tumor Pharmacother. 1986;3(3-4):141-6.

Hybridoma. 1986 Jul;5 Suppl 1:S163-70.

Hybridoma. 1986 Jul;5 Suppl 1:S151-61

Hybridoma. 1986 Jul;5 Suppl 1:S125-32.

Hybridoma. 1986 Jul;5 Suppl 1:S175-83.

Christopher Yaen US Patent Office Art Unit 1642 571-272-0838 **REM 3A20 REM 3C18** 

ement in laboratory criteria

tment for pancreatic carciignificant toxicity the postreatment programs such as re carefully defined.

pancreatic cancer. Seminars

al.: Specific antigen: d. Sci. USA 76:1438-1442,

al.: Inhibition of growth nal antibody. Cancer Res.

nal antibodies inhibit human cells. Proc. Natl. Acad.

Phase I clinical trial of inal tumors. Lancet

.: Effects of monoclonal testinal adenocarcinoma.

Human anti-idiotype antithe immune response bene-USA, in press. HYBRIDOMA Volume 5, Suppl. 1, 1986 Mary Ann Liebert, Inc., Publishers

# Clinical Trial of Wistar Institute 17-1A Monoclonal Antibody in Patients with Advanced Gastrointestinal Adenocarcinoma: A Preliminary Report

HARLAND VERRILL, MARSHALL GOLDBERG, ROBERT ROSENBAUM, RODERICK ABBOTT, LISA SIMUNOVIC, ZENON STEPLEWSKI,' and HILARY KOPROWSKI'

#### ABSTRACT

Immunotherapy using monoclonal antibody 17-1A has been performed on 22 patients with metastatic gastrointestinal cancer. Criteria for treatment included objective evidence of advanced colon, gastric, or pancreatic cancer (positive CAT scan or x-rays, elevated tumor markers, and/or abnormal liver function tests). The tumor tissue was antigenically positive in all cases. Performance status ranged from 50 to 100%. No adverse reactions were noted.

Of the 22 cases treated, 4 (18%) have died, none have rapidly progressive disease, 4 (18%) have slowly progressive disease, 10 (45%) are considered stable with disease, and none are considered partial or complete responses. It is too early to classify the response in 4 cases.

In 6 of 8 patients where anti-idiotypic data was available, death or progressive disease was correlated to negative anti-idiotypic response, and clinical stability to a positive anti-idiotypic response.

In the patients considered to be stable, the percent change from pre-treatment serum 19-9 concentrations to current values ranged from -10% to +353%. In the patients who have died or have been classified as slowly progressive the serum 19-9 changes ranged from +13% to +707%.

#### INTRODUCTION

Recently Sears, Steplewski, and Koprowski reported that single infusions of murine monoclonal antibody 17-1A exerted a beneficial therapeutic effect in a portion of patients with

Hurley Medical Center, Flint, Michigan

The Wistar Institute, Philadelphia, Pennsylvania

advanced gastrointestinal malignancies (1). The arming of effector monocytes and macrophages with monoclonal antibody and subsequent tumor cell destruction has been described by Steplewski et al. (2). We began this study in August 1985 in order to confirm these findings, and eventually to extend them by the use of other modalities such as pre-treatment with gamma interferon or infusion of multiple antibodies. Since then, we have treated 22 patients with advanced colon (Dukes' D), stomach, and pancreatic cancer with single 17-1A infusions pre-mixed with effector cell-enriched plasma obtained by leukopheresis. We have followed these patients by radiographic techniques, serum tumor markers, anti-idiotypic antibody response, and clinical progress.

# MATERIALS AND METHODS

Serum levels of CA 19-9 antigen were assayed with the Centocor CA 19-9 Kit obtained from both Abbott Laboratories in North Chicago, Illinois and Centocor in Malvern, Pennsylvania. CEA assays were performed with an Abbott CEA-EIA Monoclonal from Abbott Laboratories in North Chicago, Illinois. The anti-idiotypic response assays were performed at The Wistar Institute in Philadelphia, Pennsylvania.

The liver function tests including serum AST (SGOT), ALT (SGPT), bilirubin and alkaline phosphatase were assayed on a SMAC Corporation in Tarrytown New York

Corporation in Tarrytown, New York.

The cell counts were performed on a model S880 from Coulter Electronics, Inc. Hialeah, Florida. A final count of between 5 and 10 billion mononuclear leukocytes in 500 ml was obtained.

The tissue was tested for the presence of 17-1A and GA 73-3 antigen by use of The Vectastain ABC Kit from Vector Laboratories in Burlingame, California. The intensity of the staining reaction was graded from negative to 4+. The percentage of tumor cells expressing the antigens were noted.

# PATIENT SELECTION

Twenty-two patients with biopsy proven advanced gastrointestinal adenocarcinoma were selected for this clinical trial. The primary and metastatic sites are shown in Table 1. Six of the patients were female and 16 male. Their ages ranged from 47 to 73 yrs. In all cases the primary and/or the metastatic monoclonal antibody, see Table 2. All patients had a Karnofsky performance status of 50% or greater (with one exception). No patients showed evidence of renal dysfunction. All blood counts were near normal with granulocytes greater than 1500/mm3, platelets greater than 150,000/mm3, and hematocrit greater than 30%. There was no evidence of cardiac disease in any of the patients. No patients with brain metastasis were accepted. Pregnant or lactating women were excluded from this study. Patients with active infections, other serious illnesses, or psychiatric disorders were not accepted. None of the patients accepted were on steroids, aspirin, non-steroid anti-inflammatory drugs, hormones, or any other cancer therapy. All patients had evaluatable disease by routine diagnostic tests (CAT scan, x-ray, elevated tumor markers or abnormal liver function tests.) and had no previous therapy with murine immunoglobulins, see Table 3. All patients had at least a three month expected survival and were able to sign an informed consent.

Th against antibod obtaine numbers pa., we

A foreari placed scrate!
THaemon proced proced antibo gently allowi reinfu

condi the n treat 8 wee and f

Folic

Compl Parti

Stab:

Slow

Rapi

are

The arming of oclonal antibody and lescribed by Steplewski 1985 in order to tend them by the use th gamma interferon or , we have treated 22 mach, and pancreatic with effector is. We have followed erum tumor markers, al progress.

sayed with the tt Laboratories in ern, Pennsylvania. CEA-EIA Monoclonal ), Illinois. performed at The AST (SGOT), ALT re assayed on a SMAC chnicon Instruments

1 S880 from Coulter count of between 5 ml was obtained. f 17-1A and GA 73-3 Vector Laboratories the staining reaction ge of tumor cells

lvanced for this clinical hown in Table 1. Their ages ranged nd/or the metastatic d/or the 73-3 s had a Karnofsky exception). No All blood counts n 1500/mm3, crit greater than in any of the ere accepted. this study. illnesses, or of the patients l anti-inflammatory All patients had (CAT scan, x-ray, on tests.) and had see Table 3. All rvival and were

#### ANTIBODY

The preparation of murine MAb 1083-17-1A (IgG2a isotype) against human CRC has been described previously (3). Monoclonal antibody GA 73-3 which was used only for tissue staining was obtained from the Wistar Institute, Philadelphia, Pa. The lot numbers of the 17-1A antibody, prepared by Centocor in Malvern, pa., were 03194, 03464, and 00575.

# ANTIBODY ADMINISTRATION

A scratch approximately 3 cm long was made on the patients forearm and 20 ul of saline containing 2 mg of 17-1A antibody was placed onto the scratch. At 10 and again at 30 minutes the

scratch was observed and reported as positive or negative.

The leukopheresis machine used was model #1012 from The Haemonetics Corporation in Braintree, Massachusetts. procedure used was that of the manufacturer. The infusion procedure consisted of introducing 200 mg of the 17-1A monoclonal antibody into the bag containing 5 to 10 billion leukocytes, gently mixing the contents of the bag for about 10 seconds, allowing a one hour incubation period at room temperature, and reinfusing into the patient over 1-1.5 hours.

# POSTANTIBODY MONITORING

The patient's vital signs, temperature, and physical condition were closely observed during antibody infusion and for the next 2 to 4 hours. Blood samples were obtained before treatment, 1 and 4 days post treatment, weekly post treatment for 8 weeks, and monthly thereafter. Aliquots of serum were prepared and frozen.

#### CRITERIA OF RESPONSE

Following are definitions used to classify patient responses:

Complete Response - disappearance of all demonstrable disease. - reduction by 50% or more in the size of a Partial Response measurable lesion accompanied by no increase in the size of any other measurable lesions or appearance of new lesions.

- no new lesions and less than 25% increase in size of any measurable lesion. Stable Response

appearance of new lesions and/or an increase of between 25 and 50% in size of previous Slow Progression

measurable lesions. appearance of new lesions accompanied by an Rapid Progression -

increase in size of greater than 50% in any measurable lesion.

#### RESULTS

The primary and metastatic sites in the experimental subjects are shown in Table 1. Table 3 shows the pre-treatment serum 19-9

levels, which ranged from normal to 10,908 u/ml and CEA levels, which ranged from normal to 752 ng/ml. The date of treatment with 17-1A, any previous treatment, and the classification of each patient is shown in Table 4. Of the 22 patients, 4 (18%) have died, 4 (18%) are slowly progressive, 4 (18%) are too early to evaluate, and 10 (45%) are stable.

In all cases no immediate or delayed side effects were noted. Vital signs showed no change throughout the infusion. Table 5 shows that the pre-treatment performance status on the 4 patients who have expired ranged from 25 to 100%. No autopsies were performed, however in 3 of the 4, the cause of death was clearly related to tumor progression. In the fourth patient the cause of death was septecemia.

Table 6 shows the percent change in the serum 19-9 marker from pre-treatment to latest available data in each of the subjects. In the patients who are classified as stable the percent change ranged from -10% to +353%. In those who have died or have been classified as slowly progressive, the percent change ranged from +13% to +707%. Figure 1 shows two examples of serial serum 19-9 values. Patient WC is considered stable while SK is a slowly progressive patient.

In 6 of the 8 patients where anti-idiotypic data was available (Table 7) death or slowly progressive disease was accompanied by negative anti-idiotypic response (2 cases) and clinical stability by a positive anti-idiotypic response (4

#### DISCUSSION

Our experience with 17-1A antibody strongly supports the safety of this immunotherapeutic agent. None of our patients had a pre-treatment reactive skin test nor did any show immediate or long term side effects from the infusion.

The lack of any partial responses, as defined above, supports the contention that metastatic lesions large enough to be detected on CAT scan, are unlikely to be reduced in volume solely by treatment with 17-1A monoclonal antibody. Although 45% of the subjects are currently classified as stable, we can expect that some will deteriorate with longer observation times.

An important hypothesis is whether clinical response can be correlated to the anti-idiotypic response, in that stability corresponds to positive response and progression corresponds to a negative response. To date, six of eight patient responses are consistent with this notion.

It is our hope that future clinical trials incorporating pre-treatment with gamma-interferon, infusion with selected multiple antibodies, or both will improve our therapeutic results.

#### ACKNOWLEDGMENTS

We would like to thank Anita Leader, Donna Fonger, Tessie Jones, and Dr. N. Gabrail for their continued excellent technical assistance.

This study was supported in part by grants from the Whiting Foundation of Flint, Michigan, The Cancer Research Institute of New York, The Toby Goldberg Memorial Fund of Flint, Michigan, and a grant from the William Niven Memorial Fund of Oster Bay, New York.

1/ml and CEA levels, date of treatment with sification of each lents, 4 (18%) have s) are too early to

de effects were noted. infusion. Table 5 tus on the 4 patients autopsies were of death was clearly patient the cause of

serum 19-9 marker in each of the d as stable the n those who have died e, the percent change wo examples of serial stable while SK is a

ypic data was ive disease was ase (2 cases) and pic response (4

igly supports the
ightharpoonup of our patients had
iy show immediate or

fined above, supports enough to be detected lume solely by though 45% of the we can expect that times. ical response can be that stability sion corresponds to a ient responses are

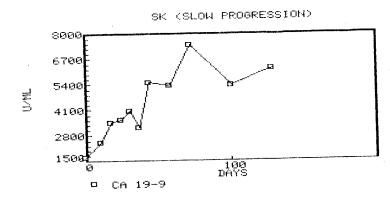
als incorporating with selected therapeutic results.

Oonna Fonger, Tessie excellent technical

:ants from the
icer Research
:ial Fund of Flint,
lemorial Fund of

#### FIGURE 1

# SERUM CA 19-9 RESULTS



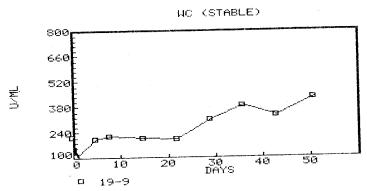


TABLE 1

DISTRIBUTION OF PATIENTS BY PRIMARY AND METASTATIC SITE

# PRIMARY SITE	
10 COLON 2 COLON 1 COLON 1 COLON 1 COLON 2 COLON 2 COLON 1 PANCREAS 3 PANCREAS 1 STOMACH	LIVER +NODES PELVIS LUNG VAGINA SKIN NONE LIVER +NODES

TABLE 2

# LAB DATA

PT	THERAPY DATE	PRE 19-9	PRE CEA	LAST 19-9	LAST CEA	17-1A	73-3	TIME IN DAYS
SK RT MC LHM AK FL RC JS RD WH TD WH TD WH DJC	08/30/85 09/09/85 09/17/85 09/19/85 09/24/85 10/03/85 10/04/85 10/10/85 11/18/85 11/14/85 11/126/85 12/20/85 12/20/85 12/20/85 01/16/86 01/12/86 02/12/86	1719 1716 16 27 56 588 119 231 10908 495 <5 22 4488 1764 363 172 216 8	323 135 0 0 3 10 25 259 119 42 1 2 3 403 752 1 1 1 29	6231 1551 62 80 39 2485 539 550 88088 1133 8 31 5049 3465 539 1386 432 <5	2038 106 2 11 4 23 201 1282 308 28 1 2 4 1058 1210 4 207 2	1+/100% 1+/100% NEG 1+/50% 1+/20% NEG 3+/95% 2+/100% NEG 1+/80% 2+/95% 1+/100% 1+/100% NEG NEG NEG 1+/100% 1+/10% 1+/10% 2+/10% 2+/100%	1+/100% 3+/30% 1+/100% 2+/100% 2+/100% 1+/100% 3+/60% 4+/100% NEG 2+/80% 1+/50% +/20% 2+/100% 1+/95% 1+/90% 1+/100% 1+/100%	130 155 141 35 138 96 48 144 56 26 54 41 80 40 38 51 40
UC	02/19/86	957	241	_	-	1+/20%	2+/30%	

# Table 3

SK       68       COLON       LIVER       1719       323         RT       67       COLON       LIVER       1716       135         RM       63       PANCREAS       NONE       16       0         MC       69       COLON       +NODES       27       0         ML       67       COLON       +NODES       56       3         HM       59       COLON       +NODES       588       10         AK       70       COLON       PELVIS       119       25         AK       70       COLON       LIVER       231       259         FD       55       COLON       LIVER       10908       119         FL       71       COLON       LIVER       495       42         RW       49       COLON       LIVER       495       42         RC       54       PANCREAS       LIVER       22       2         JS       61       PANCREAS       LIVER       4488       3         RD       64       COLON       LIVER       1764       403         JG       71       PANCREAS       LIVER       172       1	PT	AGE	PRIMARY <u>SITE</u>	METASTATIC SITE	PRE 19-9	PRE CEA
JC 64 COLON SKIN 0 1 LIVER 216 81	RT RM MC LH HM AKD FL RC SS RD JC WH TD EW JL	67 63 69 67 62 59 70 55 49 54 61 53 64 71 60 59 73 53	COLON PANCREAS COLON COLON STOMACH COLON COLON COLON COLON PANCREAS PANCREAS COLON	LIVER NONE +NODES +NODES +NODES PELVIS LIVER SKIN	1716 16 27 56 588 119 231 10908 495 <5 22 4488 1764 363 172 216 8 14 682 0	135 0 0 3 10 25 259 119 42 1 2 3 403 752 1 81 1 29 1

Normal 19-9 is 0-37 u/mlNormal CEA is 0-5 ng/ml

PT	AGE	SEX	TUI DX
SK	68	F	1
RT	67	M	0
RM	63	M	1
MC	69	M	0
ML	67	M	0
LH	62	M	0
HM	59	F	0
ΑK	70	F	1
FD	55	M	1
FL	71	M	0
RW	49	M	0
RC	54	M	0.
JS	61	M	0
RS	53	F	1
RD	64	M	0
JG	71	M	1
WC	60	M	0
WH	59	M	0
TD	73	F	0
EW	53	M	1
$\mathtt{JL}$	47	F	0
JC	64	M	0

S - Stable
SP - Slow progression
D - Death
TE - Too early

	PERFC
INITIALS	BEFORE
MC	25%
FD	10(
FL	85 ៖
JS	501

<u>17-1A</u>	<u>73-3</u>	<u>3</u>	TIME IN DAYS
1+/100% 1+/100% NEG 1+/50% 1+/20% NEG 3+/95% 2+/100% NEG 1+/80% 2+/95% 1+/100% +/5% L+/100% JEG JEG JEG JEG JEG JEG JEG JEG JEG JEG	1+/1 3+/3 1+/1 2+/1 2+/1 2+/7 2+/1 NEG 2+/8 1+/5 +/20 2+/1 1+/1 1+/9 1+/9 1+/9 1+/9 1+/9 2+/3 0	08 008 0008 0008 558 008 008 008 008 008	130 155 141 35 138 96 48 144 556 54 41 80 40 40 40 40 40 40 40 40 40 40 40 40 40
	RE 9-9	PI CE	
17 16 27 56 58 11	719 716 7 88 9 1 908 5 88 64 3 2	32 13 0 0 3 10 25 25 11 42 1 2 3 403 752 1 81 1 1 29 1	999

# TABLE 4

# CLINICAL DATA

PT	AGE	SEX	TUMOR DX DATE	17-1A TRT CLIN DATE RESP	PREVIOUS THERAPY
SK RT MC LHM AK DL WC SS DG CH DW TEW LJC	68 67 63 697 62 570 55 71 49 54 71 69 73 64 71 69 73 69 74 74	F M M M M M F F M M M M F M M M M F M M M M F M M M M F M M M M F M F M F M	12/83 04/82 11/84 04/85 07/83 04/85 06/84 12/83 11/83 01/85 03/85 03/85 11/85 07/85 10/85 04/85 04/85 05/85 12/84 02/85 09/85	O8/30/85 SP O9/09/85 S O9/17/85 S O9/19/85 D O9/24/85 S 10/03/85 SP 10/04/85 S 10/10/85 S 10/10/85 D 11/18/85 D 11/18/85 S 11/18/85 S 11/21/85 D 11/26/85 S 12/20/85 SP 12/30/85 SP 01/09/86 S O1/16/86 S O1/22/86 TE O2/12/86 TE O2/19/86 TE	CHEMO CHEMO, RAD NONE NONE IMMUNO RAD RAD CHEMO CHEMO CHEMO NONE CHEMO, RAD NONE NONE NONE CHEMO, RAD CHEMO RAD CHEMO, RAD

S - Stable SP - Slow progression D - Death TE - Too early

# TABLE 5

# EXPIRED PATIENTS

INITIALS	PERFORMANCE	THERAPY	EXPIRY
	BEFORE THERAPY	DATE	DATE
MC	25%	09/19/85	11/02/85
FD	100%	10/21/86	01/03/85
FL	85%	11/08/85	12/11/85
JS	50%	11/21/85	12/14/85

TABLE 6 CA 19-9 VS CLINICAL RESPONSE

<u>PT</u> SK	% CHANGE in CA 19-9	# OF DAYS FOLLOWED	CLINICAL RESPONSE
RT	-02	185	an.
RM	NS	155	SP
MC	+ 288	141	S
ML	+ 196	35	S
	- 10	138	D
LH	+ 323	96	S
НМ	+ 353	48	SP
AK	+ 138		S
FD	+ 707	144	S
${ t FL}$	+ 129	56	D
RW	*	26	D
RC	+ 41	54	S
JS	+ 13	54	S
RS	+ 96	41	D
RD		80	Š
JG	10	40	SP
WC	700	38	
WH	+ 100	51	SP
TD	*	40	S
EW	TE	30	S
	TE	28	TE
JL	${f TE}$	25	TE
JC	TE	20	TE
		20	TE

S - stable
SP - slow progression
D - death
TE - too early
NS - not significant
\* - all 19-9 remain normal

1. 8 Effec Gastı Modii 2. { by mc 3. { of mc

Lance

INITI SI RI M( M]  $\mathbf{L}\mathbf{I}$ HI

#### TABLE 7

# ANTI-IDIOTYPIC RESPONSE

$\sim$	N	c	Т

D

S SP SP S s ТE TE ΤE

ΤE

	INITIALS	THERAPY DATE	ANTI-ID RESPONSE	CLINICAL RESPONSE	DAY ANTI-ID TESTED
CLINICAL RESPONSE  SP S S S S D S S S S S S	SK RT RM MC ML LH HM	08/30/85 09/09/85 09/17/85 09/19/85 09/24/85 10/03/85 10/04/85 10/10/85	+ + - - (*) - + +	SP S S D S SP S	+45 +38 +40 +35 +30 +26 +18 +18
S D D S S	SP - D - D	table Slow progress eath o date the on	lv anti-id d	ata available sible that la	is from 30 day ter sera may ha

 D - Death
 \* - To date the only anti-id data available is from 30 days post treatment. It is possible that later sera may have a positive anti-idiotypic response. This patient continues to be stable 138 days post treatment.

#### REFERENCES

- 1. Sears, Henry F., Herlyn, D., Steplewski, Z., and Koprowski, H. Effect of Monoclonal Antibody Immunotherapy on Patients with Gastrointestinal Adenocarcinoma. Journal of Biological Response
- Gastrointestinal Adenocarcinoma. Journal of Biological Response Modifers 3:138-150, 1984.

  2. Steplewski, Z, Herlyn D., Maul G., et al. Hypothesis: macrophages as effector cells for human tumor destruction mediated by monoclonal antibody. Hybridoma 2:1-5 1983.

  3. Sears HF, Atkinson BF, Mattis J, et al. Phase-I clinical trial of monoclonal antibody in treatment of gastrointestinal tumors.
- Lancet 1982; 1:762-5.